

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)			Docket No. 8038/ETCH/METAL/JB
In re Application of: Chen, et al.			
Serial No.	Filing Date HEREWITH	Examiner UNKNOWN	Group Art Unit
Title: METHOD FOR ETCHING AN ORGANIC ANTI-REFLECTIVE COATING (OARC)			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.			
37 CFR 1.97(d)			
3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.			
Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)			
<input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))			
<input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))			
<input type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.			
37 CFR §1.704(d)			
4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.			
<i>Patricia A. Verlangieri</i> Patricia A. Verlangieri, Esq. Reg. No. 42,201		Dated: <u>6/11/03</u>	
Moser, Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Avenue, Suite 100 Shrewsbury, New Jersey 07702 732-530-9404			

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)					Docket No. 8038/ETCH/METAL/JB		Serial No.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant Chen, et al.		Confirmation No.:	
(Use several sheets if necessary)					Filing Date		Group	
Examiner					Herewith			
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
	A1	6,039,888	03/21/2000	Ha et al.	216	13		
	A2	6,296,780	10/02/2001	Yan et al.	216	67		
	A3	6,303,477	10/16/2001	Ianovitch	438	551		
	A4	6,379,872	04/30/2002	Hineman et al.	430	316		
	A5	6,383,941	05/07/2002	Shen et al.	438	714		
	A6	2002/0173160		Keil et al.	438	717	03/30/2001	
	A7							
	A8							
Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation/Abstract	
							YES	NO
	B1	0 573 212 ✓	12/08/1993	EPO	H01L	21/027	<input type="checkbox"/>	<input type="checkbox"/>
	B2	0 820 093 ✓	01/21/1998	EPO	H01L	21/311	<input type="checkbox"/>	<input type="checkbox"/>
	B3	02/075796 ✓	09/26/2002	WIPO	H01L	21/027	<input type="checkbox"/>	<input type="checkbox"/>
	B4	02/29879 ✓	04/11/2002	WIPO	H01L	21/311	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B5	11-135476 ✓	05/21/1999	JP	H01L	21/3065	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B6	11-168088 ✓	06/22/1999	JP	H01L	21/3065	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B7	2000-277494 ✓	10/06/2000	JP	H01L	21/3065	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B8	2001-168084	06/22/2001	JP	H01L	21/3065	<input checked="" type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
OTHER ART								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
	C1							
	C2							
	C3							
Examiner					Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								